

LAHIVE

&
COCKFIELD

L L P

COUNSELLORS AT LAW

28 STATE STREET

BOSTON, MASSACHUSETTS 02109-1784

TELEPHONE (617) 227-7400

FAX (617) 742-4214

lc@lahive.com



JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
DeANN FORAN SMITH
PETER C. LAURO
JEANNE M. DIGIORGIO
DEBRA J. MILASINCIC, Ph.D.
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN
SEAN D. DETWEILER

CYNTHIA L. KANIK, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICA NAND
MICHAEL PHILLIPS *
LISA M. DIROCCO
HATHAWAY P. RUSSELL **
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.
PETER A. DIMATTIA
VINCENT P. LOCCISANO
MERIDETH C. ARNOLD

PATENT AGENTS
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.
DANIEL B. KO

TECHNICAL SPECIALISTS
CYNTHIA M. SOROS
PETER W. DINI, Ph.D.
EUIHOON LEE
JENNIFER K. ROSENFIELD
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. MCPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
JACOB G. WEINTRAUB
JONATHAN M. SPARKS, Ph.D.
CRISTIN E. HOWLEY, Ph.D.

* Admitted in NY only

** Admitted in TX only

April 18, 2002

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application No.: 10/055720

For: COMPOSITION AND METHOD FOR THE ENCAPSULATION
OF WATER-SOLUBLE MOLECULES INTO NANOPARTICLES

Inventor: Allison, Stewart D.

Filed: January 22, 2002

Our Ref. No.: PRJ-012

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copies of references cited in PTO Form 1449 (11); and
4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231 on:

April 18, 2002

Date

Jane E. Remillard, Esquire, Reg. No. 38,872

Respectfully submitted,
LAHIVE & COCKFIELD, LLP

Jane E. Remillard, Esquire
Registration No. 38,872
Attorney for Applicant

1711

RECEIVED
APR 18 2002
1700



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Allison, Stewart D.

Serial No.: 10/055720

Filed: January 22, 2002

For: COMPOSITION AND METHOD FOR THE
ENCAPSULATION OF WATER-SOLUBLE
MOLECULES INTO NANOPARTICLES

Attorney Docket No.: PRJ-012

Group Art Unit: 1711

Examiner:



Commissioner for Patents
Washington, D.C. 20231

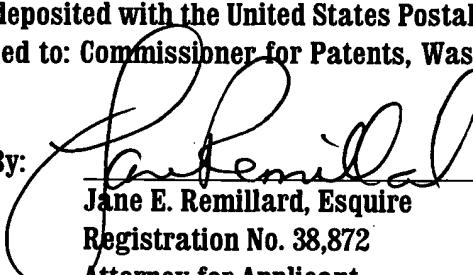
Certificate of First Class Mailing (37 CFR §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

4-18-02

Date of Signature and of Mail Deposit

By:


Jane E. Remillard, Esquire
Registration No. 38,872
Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

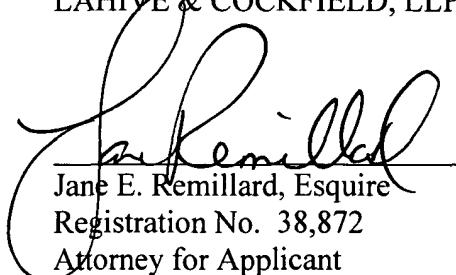
Applicant and his Attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

RECEIVED
APR 25 2002
TC 1700

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicant understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080.

Respectfully submitted,
LAHIVE & COCKFIELD, LLP


Jane E. Remillard, Esquire
Registration No. 38,872
Attorney for Applicant

28 State Street
Boston, MA 02109
(617) 227-7400

Date: April 18, 2002

JER/EFW/ham
Enclosures

